# Cartilage Restoration in the Patellofemoral 42 Joint

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## 6 42.1 Introduction

The appropriate treatment of patients with anterior 7 knee pain starts with a thorough clinical history and a 8 carefully conducted physical examination. A thorough 9 assessment of the chondral defects and concomitant 10 patho-mechanical factors is critical to the success of 11 any restorative procedure. Co-morbidities such as 12 patella alta, trochlear dysplasia, increased lateral posi-13 tion of the tibial tubercle relative to the femoral sulcus, 14 and secondary soft tissue problems, such as a hyp-15 oplastic vastus medialis muscle or a contracted lateral 16 retinaculum must be clearly defined. The standard 17 treatment algorithms used for tibial or femoral chon-18 dral lesions cannot be directly extrapolated to the 19 patellofemoral articulation. As an example, Brittberg 20 and Peterson et al. reported successful outcomes for 21 the tibiofemoral joint with autologous cultured chon-22 drocyte transplantation (ACT); however, the same 23 technique reported suboptimal outcomes in the patell-24 ofemoral joint as concomitant pathology such as mala-25 lignment was not addressed.1 Peterson et al. next added 26 treatment of the comorbidities to PF ACT and reported 27 markedly improved outcomes.7 28

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29 Chondral defects in the patellofemoral joint have 30 varied etiologies. For example, the chondrosis may be 31 genetically related, as with focal or diffuse degenera-32 tion secondary to trauma (direct impact or a result of

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patellofemoral instability) or secondary to repetitive 33 microtrauma (e.g., excessive loads such as in jumping 34 sports), or related to the cumulative microtrauma of 35 biomechanical abnormalities (e.g., chronic patellar 36 subluxation). High-grade (grades III and IV) focal 37 chondral defects (Table 42.1) are reported to occur 38 between 11% and 20% in patients undergoing knee 39 arthroscopy. Of these defects, 11-23% involved the 40 patella and 6-15% were trochlear.<sup>1,10,20</sup> Not all of these 41 lesions were symptomatic. In fact, some patients are 42 asymptomatic even at very high functional levels. 43 Kaplan et al. performed MRIs on asymptomatic NBA 44 basketball players and found articular cartilage lesions 45 in 47% of these players, with 50% of these lesions 46 classified as high grade (III or IV). The patella was 47 affected in 35%, and the trochlea in 25% of these play-48 ers who were asymptomatic.<sup>23</sup> Similarly, Walczak et al. 49 found abnormal cartilage signal on MRI in 57% of 50 asymptomatic NBA players with a 7% incidence of 51 focal defects.42 52

Just as with other PF problems, symptoms and 53 pathology have incomplete correlations. It is not 54 entirely clear why some patients with PF chondral 55 lesions present with pain while others can perform 56 at a high level. Ficat and Hungeford proposed that 57 the elevated intraosseous pressures seen in the face 58 of an articular cartilage lesion could be the source of 59 pain and today with MRI, it is not uncommon to see 60 areas of bone overload associated with chondral 61 lesions as evidenced by "bone bruises."<sup>31</sup> As noted, 62 the articular cartilage is aneural, so pain other than 63 bone may emanate from the soft tissues, including 64 the joint capsule, ligaments, tendons, and synovium. 65 In addition to mechanical factors, pain may be initi-66 ated in part by irritation from chondral debris, which 67 activates an inflammatory and nociceptive response. 68 As the true pain generator is often not well defined, 69

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t1.2	Grade	Modified outerbridge	ICRS	
t1.3	Grade 0	Normal	Normal	
	Grade 1	Softening	A: Near normal B: Soft intact or superficial open lesion	t1.4 t1.5
t1.6	Grade 2	Open fissures, fibrillation to 50% depth	Abnormal lesion to <50% cartilage depth	
	Grade 3	Open fissure fibrillation to palpable bone (>50% depth)	Severely abnormal A: >50% cartilage depth B: Down to calcified layer C: To but not through bone	t1.7 t1.8 t1.9 t1.10
	Grade 4	Exposed bone	Severely abnormal full-thickness cartilage loss and bone loss	t1.11 t1.12
t1.13	Notes		Add size and site of lesion	

t1.1 Table 42.1 Summary of modified outerbridge and ICRS chondral grading scales

it is crucial to thoroughly evaluate all potential
sources of discomfort before attributing symptoms
to a chondral defect. This chapter presents the systematic approach to decision-making process for,
and surgical treatment of, chondral defects of the PF
compartment.

## 76 **42.2 History**

A careful clinical history is the first step necessary to 77 make an accurate diagnosis. Patients may report a his-78 tory of either an insidious onset of symptoms or acute 79 onset after trauma. In addition, it is not uncommon for 80 the mechanism of the PF chondral pathology to be 81 unknown. In general, patients with patellofemoral 82 pathology can be divided in two main groups: anterior 83 knee pain and patellar instability. It is critical to deter-84 mine which of the patient's symptoms are most promi-85 nent: pain or instability, noting that these are not 86 mutually exclusive. The approach to these two subsets 87 is somewhat different and thus the need to fully explore 88 the patient's main complaint is presented. The charac-89 ter of the anterior knee pain is important to elicit from 90 the patient. Pain from the anterior soft tissues is often 91 described as acute, episodic, and/or localized/poorly 92 localized. Document what activities and positions 93 aggravated the pain. The patient can often perform 94 maneuvers in the office to reproduce pain. Pain due to 95 a chondral etiology mediates through the same tissues 96 that cause pain for other PF pathologies. It is often 97 poorly localized and may be exacerbated by prolonged 98

sitting ("movie theatre sign"). Severe, unrelenting pain99that is out of proportion to the patient's exam could be100suggestive of a more possible complex regional pain101syndrome.102

Any history of knee trauma is important. Direct 103 impact injury such as a slip, fall, or "dashboard" 104 type injury may result in anterior knee pain and may 105 damage the patellar or trochlear cartilage even with-106 out bone injury. Patellar dislocations may cause 107 damage to the distal medial patellar cartilage and/or 108 lateral femoral condyle. An indirect mechanism is 109 seen with posterior cruciate ligament (PCL) injury. 110 With the posterior displacement of the tibia, PF 111 compartment joint reactive forces are increased.13 112 Over time this patellofemoral overload may lead 113 to chondral changes and symptoms of anterior 114 knee pain. 115

When evaluating the patient with a chief complaint 116 of instability, it is important to determine the amount 117 of energy associated with the first dislocation episode. 118 If the initial episode was a very low energy episode, it 119 should trigger the physician to carefully evaluate for 120 predisposing factors such as generalized ligamentous 121 laxity, patellar alta, trochlear dysplasia, or malalign-122 ment. For higher energy dislocations, there is increased 123 risk of chondral pathology with a lower likelihood of 124 significant predisposing anatomic factors. The fre-125 quency of and most recent dislocation episode should 126 be recorded, as well as the degree of pain and effusion 127 between instability episodes. Interval pain and effu-128 sion symptoms may suggest chondral damage from the 129 recurrent dislocations. In the patient with frequent dis-130 locations, not only is there patholaxity of the soft 131

tissue restraints, there may be significant PF dysplasia 132 and patella alta that will necessitate a more compre-133 hensive reconstructive procedure. It is important to 134 determine whether the patient is having true disloca-135 tion episodes or if they are experiencing subluxations 136 or another phenomenon such as "giving way".<sup>13</sup> This 137 may, indeed, represent patellar instability, but can also 138 be related to a pain reflex causing quadriceps inhibi-139 tion, secondary to ligament deficiency (e.g., ACL defi-140 ciency) or intra-articular effusion that inhibits full 141 quadriceps activation.<sup>37</sup> Patients often report crepitus. 142 143 This has poor correlation with chondral pathology and may be a result of many factors such as: chondrosis, 144 synovial impingement, or scar tissue. Some patients 145 will also complain of mechanical symptoms of locking 146 or catching. In contrast to the symptoms caused by 147 meniscal pathology, mechanical symptoms from the 148 patellofemoral joint usually occur during activity 149 which loads the patellofemoral compartment such as 150 walking down stairs. 151

Any previous surgical interventions should be doc-152 umented. It is ideal if the operative reports and the 153 intraoperative arthroscopy images are obtained and 154 reviewed. The date of the procedure is also very impor-155 tant. If it has been a prolonged period since arthroscopic 156 evaluation, a repeat arthroscopy may be warranted to 157 confirm the diagnosis and to define the lesions by loca-158 tion, region, and grade. 159

## 160 42.3 Physical Examination

A focused and detailed physical examination is just as 161 important as the clinical history. The examination 162 entails evaluation of the entire kinetic chain from the 163 foot (pronation vs. cavus) to the tibia (external torsion) 164 to the knee and PF specific exams of the hip. Increased 165 internal rotation increases the suspicion of excessive 166 hip anteversion to the core proximal musculature which 167 will also include the low back and pelvis. Evaluation of 168 muscle weakness of the hip abductors, hip extensors, 169 and pelvic stabilizers is essential. Weakness of these 170 muscles is evaluated by asking the patient to do a sin-171 gle-leg stance on the affected limb which results in a 172 pelvic drop on the contralateral side. Inspection for any 173 174 deformity or surgical scars should be the first step in the exam. An evaluation of gait should also be per-175 formed to assess for any incongruity or abnormality. 176

Both legs should be examined to evaluate for symme-177try. The location of the patient's pain should be identi-178fied if possible and contributing structures should be179carefully assessed. An evaluation for patellar instabil-180ity should be performed on all patients presenting with181symptoms localized to the anterior knee.182

Special tests for the PF compartment have been 183 described. Retinacular tightness can be evaluated with 184 the patellar glide and tilt tests. With the knee flexed to 185 30° the patella is displaced medially. If there is limited 186 medial movement associated with lateral PF facet pain, 187 this is pathognomic of excessive lateral tightness.<sup>13</sup> 188 Lateral retinacular tightness is common in patients 189 with anterior knee pain and is the hallmark of the 190 excessive lateral pressure syndrome described by 191 Ficat.<sup>12</sup> Fulkerson reported tenderness over the lateral 192 retinaculum in 90% of the patients in his series of ante-193 rior knee pain.<sup>14</sup> The patellar grind test is an axial com-194 pression of the patella on the trochlea and is positive if 195 pain is reproduced and is often positive in the setting of 196 a chondral defect. This test is performed in various 197 angles of flexion in order to establish the location of 198 the chondral defect if present. As the contact area 199 moves from proximal to distal with knee motion, pain 200 near extension is indicative of a chondral defect in the 201 distal part of patella or trochlea; if the pain is elicited 202 at 90° of flexion, the chondral defect is localized to the 203 proximal aspect of patella or trochlea. The sustained 204 knee flexion test is performed by having the patient 205 flex the knee against resistance for 45 s and then hav-206 ing them extend the knee after a period of  $15-30 \text{ s.}^{19}$ 207 The test is considered positive if pain is reported dur-208 ing the extension period. Patellar and quadriceps tendi-209 nosis can present as anterior knee pain, so it is important 210 to palpate the proximal and distal poles of the patella. 211 Hoffa's fat pad should be considered as a source of 212 pain especially in the patient who has undergone a pre-213 vious arthroscopy.17 214

A patient presenting with instability will usually 215 experience a lateral subluxation or dislocation. The 216 patellar glide test is used to assess the medial and lateral 217 displacement of the patella. It is positive if the patella 218 can be significantly displaced in three or more quad-219 rants.<sup>13</sup> Fairbank's patellar apprehension test, when 220 positive, suggests that instability is a significant prob-221 lem for the patient. The test is positive when the patient 222 has a defensive contracture of quadriceps during lateral 223 patellar displacement at 20°-30° of flexion. Medial 224 instability is often a consequence of an unnecessary or 225

excessive realignment surgery or lateral release. This 226 can be evaluated by Fulkerson's relocation test.<sup>15</sup> This 227 228 test is performed by holding the patella in a medial direction with the knee extended. The knee is then 229 flexed while the patella is simultaneously released. This 230 causes the patella to relocate into the trochlea. In 231 patients with medial subluxation this test reproduces 232 the patient's symptom. Patellar tracking can be assessed 233 234 with the "J" sign. The patient extends the knee from 90° of flexion and the patella moves in a proximal and lat-235 eral direction that is similar to an inverted "J." This may 236 237 be an observation in a patient presenting with PF malalignment or in otherwise normal knees. Likewise, a 238 patella that is always lateral may have linear tracking 239 even though there is malalignment. 240

Tightness of the quadriceps, hamstring, gastrocne-241 mius muscles, and iliotibial band may contribute to 242 anterior knee pain and should be evaluated. Quadriceps 243 tightness is suggested by: (1) a different degree of flex-244 ion of one knee (best documented prone) compared to 245 the other, (2) feeling of tightness in the anterior aspect 246 of the thigh, and (3) elevation of the pelvis due to flex-247 ion of the hip.43 Evaluation of iliotibial band tightness 248 is done using Ober's test. To perform this test the 249 patient lies on the nonpainful side and the examiner 250 flexes the affected knee and hip to 90°. The examiner 251 then abducts and extends the affected thigh, which 252 places the iliotibial band on maximal stretch. Palpation 253 of the iliotibial band just proximal to the lateral femo-254 ral condyle during maximal stretch will cause severe 255 pain in patients with excessive iliotibial band tightness. 256 To test pelvic tilt, the Thomas test is performed with 257 full hip flexion while observing pelvic movement. 258

## 259 **42.4 Imaging**

Plain radiographs are a standard part of the diagno-260 stic workup for cartilage-related patellofemoral 261 pain. A standard series includes a standing AP view, 262 45° (PA "Rosenberg, Shuss or skier view"), a true 263 lateral view, and a low flexion angle axial view 264 (Merchant). The AP view supplemented with a hip 265 to ankle alignment film is used to evaluate the extent 266 of varus or valgus alignment and joint space nar-267 rowing. The lateral view is useful for documenting 268 trochlear dysplasia, patellar height (alta or infera), 269 and patellar tilt. Currently, the methods of Caton-270 Deschamps or Blackburn-Peel are favored over 271

Insall-Salvati. The ratio compares the length of the 272 articular surface of patella and the distance from the 273 most anterior point of articular tibial surface to the 274 most distal point of articular patella surface. Normal 275 ratios are between 0.8 and 1.2. An index greater 276 than 1.3 represents patella alta, and an index less 277 than 0.6 represents patella infera. Dejour et al. have 278 shown that the true lateral radiograph provides more 279 information to assess trochlear dysplasia and patel-280 lar tilt than the Merchant view.2,4,11,17,26 The axial 281 radiograph (Merchant view) is best used to deter-282 mine information regarding the sulcus angle, joint 283 space narrowing, subchondral sclerosis, and shape 284 of the patella. 285

CT scan is a useful imaging modality when a tibial 286 tuberosity osteotomy is being considered. The TT-TG 287 (tibial tubercle to trochlear groove) distance can be 288 measured and can guide the surgeon in decision mak-289 ing about the need for an osteotomy. A TT-TG dis-290 tance of <15 mm is considered normal; values 291 >20 mm are "excessive" and represent malalignment 292 that may be treated with an osteotomy.<sup>4,40</sup> Schutzer 293 et al. identified three patterns of malalignment using 294 CT imaging: type 1 (patellar subluxation without tilt), 295 type 2 (patellar subluxation with tilt), and type 3 296 (patellar tilt without subluxation).<sup>35</sup> For cartilage spe-297 cific considerations, a CT arthrogram will allow 298 detailed assessment of lesion position and dimen-299 sions. As malposition of the patella relative to the tro-300 chlea is often associated with cartilage lesions, 301 additional information may, at times, be obtained 302 from multiple flexion angles (midwaist patellar slices 303 or midwaist patellar cuts comparing quad-active and 304 quad-relaxed views).34 305

Magnetic resonance imaging (MRI) remains essen-306 tial for the evaluation of osteochondral lesions; in par-307 ticular the sagittal and coronal image series are useful 308 in evaluating the patellofemoral articulation. MRI has 309 received increased attention due to newly developed 310 high-resolution imaging protocols with the option of 311 enhancement by intravenous gadolinium. This results 312 in sensitivity and specificity approaching 90% for MRI 313 protocols using a 1.5 T magnet with appropriate 314 orthogonal sequences.<sup>32,35,44</sup> MRI also allows assess-315 ment of bone overload as evidenced with bone edema 316 (bone bruise). 317

Bone scans are rarely used in standard cases, but318can delineate sites of bone overload or in atypical pre-<br/>sentations can be an aid in diagnosing complex regional<br/>pain syndrome.320

### 322 42.5 Basic Science

The lack of vascular, neural, and lymphatic access to 323 articular cartilage creates an environment of limited 324 repair. Injuries that penetrate the subchondral bone ini-325 tiate a vascular proliferative response resulting in a 326 327 combination of normal hyaline cartilage (primarily type II collagen) and a structurally inferior fibrocarti-328 lage (primarily type I collagen). Each zone of normal 329 hyaline cartilage has a characteristic composition of 330 chondrocytes, collagen, aggrecan, and fluid dynamics 331 that relate directly to that zone's function. Hyaline car-332 tilage consists of 4 zones with the most superficial zone 333 containing the "lamina splendens" (packed collagen 334 fibers) and a cellular layer of flattened chondrocytes. 335 336 The preservation of this layer is very important for the deeper layers as it limits passage of large molecules 337 between the synovial fluid and cartilage. The interme-338 339 diate zone is composed of spherical chondrocytes, proteoglycans, and obliquely oriented collagen fibers. The 340 deep zone is a combination of collagen fibers and chon-341 342 drocytes oriented perpendicular to the articular surface which allows them to optimally resist compressive 343 loads. The deepest level is the calcified cartilage layer 344 345 which is separated from the deep zone by the tidemark. There are many classification systems used to describe 346 chondral lesions. We have summarized the most com-347 348 monly used and present them in Table 42.1.

## 349 42.6 Patellofemoral Chondrosis Subsets

The cartilage in the patellofemoral articulation is the 350 thickest articular cartilage in the body to accommodate 351 the high loads that are seen in this joint. The variability 352 of bony morphology of this articulation can be a chal-353 lenge for surgical treatment of the patellofemoral joint. 354 It is useful to categorize patients with PF chondral dis-355 ease into two categories: those patients with PF chon-356 drosis and associated tibiofemoral chondrosis and those 357 with isolated PF chondrosis. Patients with associated 358 tibiofemoral chondrosis are common. As multiple com-359 partments are affected the outcomes in this patient 360 group are less optimal than in the isolated condition. In 361 order to maximize the outcomes in this patient group 362 the concomitant lesions should be addressed at the same 363 time as the primary procedure. Although, some consid-364 eration is given to treating only the most symptomatic 365 lesions as occasionally lesions may be incidental and 366

not clinically relevant. Isolated patellofemoral chon-367 drosis can be divided by etiology into different catego-368 ries: traumatic, dysplastic, and focal osteochondral 369 defects. The traumatic lesions are subdivided by mech-370 anism into those due to macrotrauma (such as a patellar 371 dislocation or direct blow) and those due to microtrauma 372 (which includes repetitive overuse injuries). Micro-373 traumatic lesions can present with linear fissures of the 374 patella, traumatic delamination, or osteochondral frac-375 tures, depending on the degree of knee flexion at the 376 time of the injury. Lesions due to dysplastic conditions 377 result from increased contact pressure. Chondral pathol-378 ogy due to dysplasia can be difficult to treat because of 379 the patellofemoral morphology. These lesions have 380 been treated with soft tissue procedures and TTOs with 381 variable results. Focal osteochondral defects not caused 382 by trauma may be a result of avascular necrosis or 383 osteochondritis dessicans. Lesions secondary to this 384 type of pathology are rare in the patellofemoral joint. 385 Treatment of these lesions requires the surgeon to cor-386 rect both the underlying bony pathology as well as the 387 chondral defect. 388

42.7	Treatment and Indications/	
	Contraindications	390

## 42.7.1 Arthroscopic Chondral 391 Debridement 392

Chondroplasty is indicated in low demand patients 393 who have failed nonoperative treatment with therapy, 394 NSAIDs, and injections or as a staging procedure in 395 patients who may undergo a definitive cartilage restor-396 ative procedure. Though not a definitive time period, a 397 trial of nonoperative management for 8-26 weeks is 398 reasonable. This procedure is optimal for those patients 399 with mechanical symptoms without widespread degen-400 erative changes. It may be the first line of treatment in 401 younger patients with chondral defects and can be 402 coupled with procedures such as biopsy for ACI for 403 future interventions or planning a definitive major 404 restoration. Goals of this procedure are to stabilize 405 loose chondral flaps and decrease synovial inflamma-406 tion from recurrent sloughing of articular debris. 407 Chondral debridement is of questionable value in the 408 truly degenerative knee as several studies have failed 409 to show efficacy over nonoperative treatment.<sup>30</sup> 410

## 411 42.7.2 Microfracture (Marrow 412 Stimulation)

Microfracture is indicated in younger patients with 413 full-thickness, well-contained, small lesions. It is ide-414 ally suited for unipolar disease. Though some authors 415 have reported good outcomes with larger PF lesions, 416 other authors report poor results of marrow stimulation 417 for all patellar lesions.<sup>25,38</sup> Most reports suggest treat-418 ment for lesions under 4 cm<sup>27,24,29</sup> Perhaps the most 419 important aspect of this surgery is the adherence to the 420 421 postoperative rehabilitation. This procedure is attractive because it is easy to perform and does not require 422 any additional implants. 423

424 Marrow stimulation is contraindicated when there 425 is uncorrected malalignment, global, diffuse degenera-426 tive change, or an unwillingness or inability to comply 427 with postoperative rehabilitation demands. A relative 428 contraindication is age >40 years based on the Kreuz 429 outcomes.<sup>25</sup>

## 430 42.7.3 Osteochondral Autograft 431 Transplantation

432 Osteochondral autograft transplantation is indicated
433 for a patellofemoral lesion of less than 2.5 cm<sup>2</sup> when
434 the lesion is contained. It is contraindicated in uncon435 tained lesions, bipolar lesions, or when there is uncor436 rected malalignment.

## 437 **42.7.4** Autologous Chondrocyte 438 Implantation/Transplantation

ACI is indicated in symptomatic, full-thickness defects 439 of the patellofemoral articulation and in the United 440 States it is a second-line treatment. Larger lesions and 441 bipolar disease can be treated successfully with this 442 technique. This is a treatment option for larger lesions 443 and those lesions that have failed other techniques 444 (unless extensive bone loss is present) noting that it is 445 unclear which prior procedures may portend a less 446 optimal result.<sup>28</sup> Results appear to be better with uni-447 polar disease, but focal bipolar disease can be success-448 fully treated. The published series of ACI at the PF 449

compartment often includes significant number of 450 concomitant tibial tuberosity osteotomies; however 451 the technique and discussion of this technique are 452 addressed in a separate chapter in this text (Chap. 40). 453 Relative contraindications to ACI exist when there is 454 subchondral bone collapse or bone loss, uncorrectable 455 malalignment, untreated ligamentous instability, 456 advanced age (>55 years), widespread osteoarthritis, 457 and BMI >30. 458

## 42.7.5 Osteochondral Allograft459Transplantation460

Osteochondral allograft transplantation is indicated for 461 patients with symptomatic, large (>3 cm<sup>2</sup>), full-thick-462 ness osteochondral or full-thickness chondral lesions. 463 It is often used for second-line treatment of unstable 464 and irreparable osteochondritis dessicans lesions, 465 failed osteochondral autograft transfers, failed ACI, or 466 in the setting of subchondral bone collapse. The pathol-467 ogy should be monopolar as bipolar lesion treatment 468 has a much lower success rate. Patients with advanced 469 or diffuse degenerative changes involving one or both 470 of the tibiofemoral compartments are contraindicated 471 for this procedure and are better served by knee 472 arthroplasty. 473

Authors preference: For pure chondral pathology, 474 ACI is performed concomitantly with straight anter-475 ization if the TT-TG is normal or anteromedialization 476 when the TT-TG is excessive. Isolated bipolar dis-477 ease of the PF joint in very young and severely symp-478 tomatic patients who fail ACI may be treated with 479 fresh OC grafting of both the patella and trochlea 480 given the limited alternatives other than PF resurfac-481 ing or total knee arthroplasty. Failed ACI of the PF 482 joint can be treated with revision osteochondral 483 allografting. 484

## 42.8 Surgical Technique

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Prior to any major surgical restoration effort it is 486 important to have a recent and accurate intra-articular 487 evaluation of the patient's anatomy and chondral 488 defect. If recent, high-quality arthroscopic images 489 or video are unavailable, a diagnostic "staging" 490 491 arthroscopy should be performed at a date prior to the restoration. When ACI is indicated, a biopsy would be 492 harvested at this surgery. 493

#### 42.8.1 Microfracture 494

#### 42.8.1.1 Lesion Preparation 495

496 Standard arthroscopic evaluation is carried out and the lesion is identified. All other intra-articular pathology 497 should be addressed prior to performing the microfrac-498 499 ture. The lesion is debrided with a curette and mechanical shaver to expose the subchondral bone. It is 500 imperative to create a stable "well shouldered" lesion 501 502 in order to maximize the success of this procedure. A ring curette can be helpful in the patellofemoral joint 503 for this purpose. When preparing a lesion on the under-504 505 surface of the patella, it is often helpful to have an assistant provide counter-pressure and stabilize the 506 patella to aid in the preparation of the lesion or per-507 508 form a miniarthrotomy to allow unencumbered access. It is also important to remove the calcified cartilage 509 layer in order to fully prepare the lesion. 510

#### 42.8.1.2 Microfracture [AU5]1

Once the lesion is prepared, a microfracture awl is 512 selected that will allow for perpendicular creation of 513 514 the holes. The goal is to place the holes 3-4 mm apart and to a depth of 2-4 mm or just until fat globules are 515 seen coming from the underlying marrow (Fig. 42.1). 516

517 It is often helpful to begin the microfracture at the

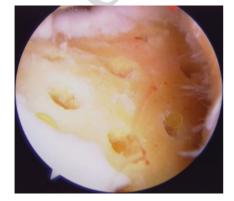


Fig. 42.1 Marrow stimulation of trochlea

periphery of the lesion and work from outside in to 518 maximize the amount of holes that can be created. 519 Once the holes have been created the arthroscopy fluid 520 pressure is turned down or off to ensure that blood 521 flows from the created microfracture sites. The arthro-522 scope is withdrawn and the wounds are closed in a 523 standard fashion. A recent basic science study suggests 524 the older form of marrow stimulation, drilling, may 525 have theoretical advantages.9 526

<b>42.8.2</b>	Osteochondral Autograft	527
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### 42.8.2.1 Exposure

The patient is positioned supine on an operating table. 529 The exposure for this procedure is similar to both ACI 530 and osteochondral allografting utilizing a midline util-531 ity approach and either a medial or lateral arthrotomy. 532

42.8.2.2 Recipient Site Preparation

The lesion is sized with commercially available 534 instrumentation and an appropriately sized reamer is 535 selected. The diameter of the reamer should corre-536 spond to the diameter of the grafts that are harvested 537 and each recipient hole should be separated by 1-2 mm. 538 Additionally, it is often helpful to allow for an addi-539 tional 1–2 mm of depth to aid in graft implantation. 540 The holes can be further dilated to facilitate implanta-541 tion if desired. 542

### 42.8.2.3 Graft Harvest

543 There are three major donor sites available for har-544 vest of autogenous tissue: the lateral femoral con-545 dyle above the sulcus terminalis, the superolateral 546 aspect of the intercondylar notch (if uninvolved), or 547 the peripheral aspect of the medial femoral condyle. 548 If the medial condular donor site is to be used a 549 medial arthrotomy will assist in the harvest. Ideally 550 the largest size plug possible (1 cm<sup>2</sup>) is harvested. In 551 the case of larger lesions multiple plugs can be har-552 vested. Most commercially available systems have a 553 T-handle device that is used to gather the donor tis-554 sue. This device should be placed perpendicular to 555 the articular surface for the harvest. This device is 556

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impacted to roughly 15 mm and the plug is then
rotated free from the surrounding tissue. The depth
of the graft is measured and used as a guide for creation of the recipient hole.

### 561 42.8.2.4 Graft Implantation

The graft remains in the delivery tube and is then 562 placed perpendicular to the recipient site and held in 563 place firmly. It is imperative to limit the number of 564 mallet strikes and the force of each strike when impact-565 ing the graft with the plunger as excessive force may 566 lead to chondrocyte death. With the graft nearly com-567 pletely in place, the tube is removed and gentle impac-568 tion is used to seat the graft flush to the surrounding 569 articular cartilage. For larger defects it is beneficial to 570 prepare each graft and recipient site separately until 571 the defect is filled completely. 572

## 42.8.3 Autologous ChondrocyteImplantation (ACI)

PF ACI is often performed in conjunction with a tibial 575 tuberosity osteotomy. The technique for AMZ is dis-576 cussed in detail in other chapters and this section 577 focuses on ACI. In the United States currently matrix 578 autologous chondrocyte implantation (MACI) or other 579 scaffold techniques are not approved for clinical use 580 by the FDA. The current method utilized in the US 581 involves an open procedure with use of a periosteal 582 patch or off label usage of a collagen patch.<sup>8,16</sup> 583

### 584 42.8.3.1 Exposure

A midline utility incision is utilized in all cases. If the 585 patient has a previous anterior knee incision, all 586 attempts are made to incorporate this in the skin inci-587 sion. As previously discussed this procedure is often 588 performed in conjunction with a tibial tubercle osteot-589 omy and if this is planned, the incision should extend 590 from the proximal pole of the patella to 8 cm distal to 591 the tibial tubercle. If an ACI is performed in isolation, 592 the incision can end at the level of the tibial tubercle. 593 Sharp dissection is carried out through the skin and 594 subcutaneous tissue and full-thickness flaps are 595

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created. A lateral arthrotomy allows adequate exposure 596 and may have less morbidity as it spares the vastus 597 medialis. The arthrotomy extends from the level of the 598 vastus lateralis to the anterior capsule, being careful to 599 avoid injury to the anterior lateral meniscus. It is help-600 ful to release the fat pad and to dissect the anterior horn 601 of the meniscus from the capsule as this can increase 602 the exposure of the trochlea. Variable amounts of knee 603 flexion are utilized to maximize the view of the tro-604 chlea. The patella is subluxated and or everted medi-605 ally to expose the trochlea. 606

42.8.3.2 Recipient Site Preparation

A fresh #15 blade is then used to create vertical walls at 608 the periphery of the chondral lesion. A ring curette is 609 then used to debride the chondral defect and remove all 610 abnormal cartilage, fibrocartilage with preservation of 611 the calcified cartilage layer. It is important to create a 612 "well shouldered" recipient site at this point of the pro-613 cedure (Fig. 42.2a). Great care should be taken not to 614 gouge the underlying subchondral bone so as to avoid 615 bleeding. Once the lesion is prepared, a template is 616 pressed into the defect and is sized to cover the defect. 617 At this point the tourniquet is deflated and care is taken 618 to achieve homeostasis. Often, especially in the case of 619 revision for a failed microfracture, bleeding is encoun-620 tered. It is imperative to obtain meticulous hemostasis 621 as bleeding in the recipient site may theoretically lead 622 to reduced production of hyaline-like cartilage. The use 623 of thrombin soaked gel-foam and small neuro paddies 624 can assist in this step. If the bleeding is difficult to con-625 trol a small amount of fibrin glue can be applied to the 626 base of the recipient site and pressure can be applied for 627 3–5 min. It is important to ensure that there is adequate 628 surrounding cartilage available to pass suture through 629 in order to provide secure fixation of the patch. 630

### 42.8.3.3 Patch Preparation and Fixation 631

The patch is cut to match the template of the defect. 632 Classically, the patch is sutured by passing interrupted 633 6-0 Vicryl sutures from the patch through the surrounding cartilage to achieve a water tight seal and the 635 ACI cell suspension is injected deep to the patch 636 (Fig. 42.2b). Suture passage can be facilitated by running the Vicryl through mineral oil prior to sewing. 638

chondral bone. Walls are vertical. (b) The periosteal patch or collagen patch is secured with suture and sealed with fibrin glue. The suspension of cultured chondrocytes is injected deep to the patch

The knots should be tied on the patch side, near the 639 interface of the patch and surrounding cartilage. 640 Occasionally if there is an area that is uncontained, 641 suture anchors can be placed to provide additional 642 fixation. Alternatively, the cells may be seeded on a 643 collagen patch.<sup>39</sup> Ten minutes after seeding, the patch 644 is sewn into place in the same manner as with the clas-645 sical use of periosteum. A small area may be left open 646 to allow for injection of the additional chondrocyte 647 suspension. 648

#### 42.8.3.4 Sealing the Patch 649

Once sutured into place, fibrin glue is used to complete 650 the seal. Care should be taken to use the minimal 651

amount of glue necessary to ensure an adequate seal. If 652 cells are not seeded, the seal can then be tested with 653 saline. 654

#### 42.8.4 Osteochondral Allografting 655

### 42.8.4.1 Preparation of Recipient Site

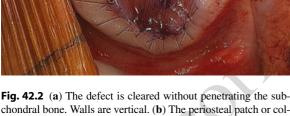
There are several commercially available systems that 657 can be used to size and prepare both the recipient site 658 and the donor plug. Once the site has been sized a 659 guidepin is placed and a cannulated reamer is used to 660 create a socket with a depth of 6-8 mm. The sidewalls 661 are then trimmed sharply with a fresh #15 blade and 662 the site is irrigated and dried. Once this is complete the 663 depth of the cylindrical socket is measured at the 12, 3, 664 6, and 9 o'clock positions and this is recorded. 665 Alternatively for a full-area lesion of the patella and/or 666 trochlea, shells may be created free hand. 667

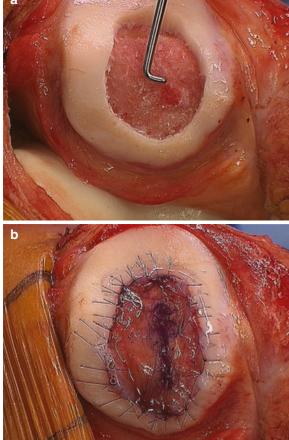
## 42.8.4.2 Preparation of the Donor Plug

The ideal donor site is then identified from the fresh 669 osteochondral allograft donor tissue. Though diffi-670 cult, the goal is to match the native radius of curva-671 ture with that of the donor tissue. Once identified the 672 donor is marked with a sizing tube. The donor tissue 673 is fixed in a commercially available jig and a donor-674 harvesting device is used to core out the donor plug. 675 The graft is then extracted and the measurements 676 made previously of the recipient socket are applied to 677 the donor plug to ensure accurate depth matching. 678

### 42.8.4.3 Graft Insertion/Fixation

The recipient site is then dilated an additional 0.5 mm 680 with a commercially available dilation device. The 681 graft is then press-fit into the recipient site with the 682 least amount of force necessary because excessive 683 force has been shown to lead to chondrocyte injury and 684 death. Occasionally an oversized tamp may be required 685 to complete the seating of the graft. For the freehand 686 shell technique, the host bone is cut in the same man-687 ner as for a patellofemoral arthroplasty and the shells 688 are shaped to have minimal bone (usually composite 689 thickness of 5-8 mm) with the goal to establish a 690





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normal composite thickness (Fig. 42.3a-c). Headless,
variable pitch, bioabsorbable screws may be used to fix
the shell allografts or to augment the fixation of
allograft plugs.

## 695 42.9 Postoperative Management

Note that if concomitant tibial tuberosity surgery isperformed, the weight-bearing recommendations ofthat procedure take precedence (see Chap. 40).

## 42.9.1 Microfracture-Trochlear/PatellarDefect

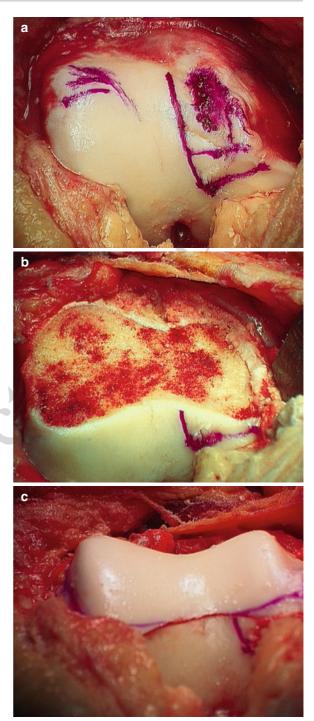
All patients use continuous passive motion (CPM) 701 from the day of the surgery for a period of 4–6 weeks, 702 6-8 h per day. Patients with patellar and trochlear 703 groove lesions should be placed immediately in a 704 hinged brace with a 30°-45° flexion stop for at least 705 8 weeks. Weight bearing in extension as tolerated is 706 allowed immediately postoperatively. After the period 707 of protected flexion, patients begin active range of 708 motion exercises and progress to full flexion. No cut-709 ting, twisting, or jumping sports are allowed until at 710 least 6 months after surgery. 711

## 712 42.9.2 Osteochondral Autograft 713 Transplantation

The postoperative management for this procedure is similar to that of both ACI and osteochondral allografting.

# 717 42.9.3 Autologous Chondrocyte 718 Implantation of the 719 Patellofemoral Joint

The operative extremity is placed into a hinged knee brace locked in full extension postoperatively. Continuous passive motion is initiated on the first postoperative day  $(0-30^{\circ}; 1 \text{ cycle/min})$  in 2-h increments for 6–8 h per day. Range of motion is advanced by 15° each week with the use of the continuous passive



**Fig. 42.3** The area of chondrosis is identified (**a**). The bony cuts are made with a cooled oscillating saw (**b**). The donor graft is shaped to fit the defect and secured (**c**)

motion machine and simultaneous unlocking of the 726 brace. The objective is to obtain 90° of flexion by 727 weeks 6–8, but not generally sooner than 4 weeks. 728 Return to full activity is not permitted until 8 monthspostoperatively to protect the lesion until the cartilagehas sufficiently matured.

## 42.9.4 Osteochondral Allograft and Autograft Transplantation

Postoperatively, if the procedure is performed appro-734 priately with a well-contained defect, early weight 735 bearing and motion are encouraged. After a multiple-736 plug technique, full range of motion and protected 737 weight bearing are advised for the first 4 weeks. At 738 4 weeks, full weight bearing is allowed. Sporting 739 activities are not recommended until 4-6 months 740 741 postoperatively.

## 742 42.10 Outcomes

A detailed description of the studies evaluating out-comes from the various procedures is included inTable 42.2. Below is a summary of these findings.

## 746 **42.10.1** *Microfracture*

Marrow stimulation techniques result in a repair tissue
with inferior wear characteristics. Some authors have
reported good results in the treatment of smaller

defects (2–3 cm<sup>2</sup>). However larger lesions and highdemand patients were better treated with autologous 751 chondrocyte implantation or osteochondral grafting. 752

## 42.10.2 Autologous Chondrocyte 753 Implantation 754

Recent results of autologous chondrocyte implantation 755 in the patellofemoral joint have been encouraging with 756 good and excellent results even in patients with large 757 defects (average 10 cm<sup>2</sup>) who had previously undergone an average of three surgeries. It appears that concomitant realignment procedures are an important 760 adjunct in obtaining these favorable results. 761

## 42.10.3 Osteochondral Grafting: 762 Autograft Transplantation 763

Transfer of autologous osteochondral plugs is limited by the donor area and donor site morbidity. Based on such limitations, this technique is employed for only small-sized defects. Published studies have reported varying outcomes, suggesting that this technique be suitable for the relatively rare patient who presents with a small isolated chondral defect. 760

t2.1 **Table 42.2** Summary of various authors' outcomes of specified patellofemoral surgical techniques

t2.2	Procedure	Authors	Reported outcomes
t2.3 t2.4 t2.5	Microfracture	Blevins et al. <sup>5</sup> Kreuz et al. <sup>25</sup> Steadman et al. <sup>38</sup>	Good results with microfracture in lesions 2–3 cm <sup>2</sup>
t2.6		Miller et al. <sup>27</sup>	Lysholm score 53.8–83, Tegner score $2.9 \rightarrow 4.5$
t2.7 t2.8 t2.9		Mithoefer et al. <sup>29</sup> Steadman et al. <sup>38</sup> Kreuz et al. <sup>25</sup>	Good midterm outcomes in approximately 80% of patients
t2.10 t2.11 t2.12	ACI(PF)	Minas et al. <sup>28</sup> Bentley et al. <sup>3</sup> Bitteberg et al. <sup>6</sup>	Good and excellent results in up to 85%
t2.13		Yates <sup>45</sup>	No good results without corrective osteotomy 78% good/excellent
t2.14 t2.15 t2.16 t2.17	OA grafting	Hangody et al. <sup>18</sup> Bentley et al. <sup>3</sup> Jakob et al. <sup>21</sup>	Good results 80% of patients Others have shown failure rates that approached 100% when used for patellar defects 86% good/excellent
t2.18 t2.19	Allograft transplantation	Jamali et al. <sup>22</sup> Torga et al. <sup>41</sup>	Graft survival in 60–70% of patients with follow-up of up to 10 years
t2.20		Shasha et al. <sup>36</sup>	Kaplan–Meier Survival Rate: 5 years – 95%, 10 years – 80%, 15 years – 65%, 20 years – 46%

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## 42.10.4 Osteochondral Grafting: Allograft Transplantation

This type of reconstruction appears to be better suited
for the treatment of trochlear defects, though some
authors have reported good outcomes with allograft
reconstruction of the patella and even bipolar lesions.

## 777 42.11 Conclusion

Management of patients with a PF joint that presents 778 with pain and dysfunction associated with chondral 779 pathology remains a difficult clinical problem. Atten-780 tion to the entire PF system including issues pertaining 781 to alignment is paramount in achieving a successful 782 outcome. Similar to the tibiofemoral joint, all comor-783 bidities must be addressed. Multiple options exist to 784 manage the chondral pathology and are chosen based 785 upon defect size and location. Outcomes support good 786 787 and excellent results similar to that seen with the man-

788 agement of the tibiofemoral joint.

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AU1	Please confirm corresponding author and also confirm author affiliation.	
AU2	In the sentence starting "For example, the chondrosis" for completeness.	
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