

2 **Considerations for the Use of Platelet-Rich Plasma in Orthopedics**

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8 **Abstract** The use of platelet-rich plasma (PRP) is  
9 expanding to numerous medical fields, including orthope-  
10 dic surgery and sports medicine. The popularity of this new  
11 treatment option has prompted a rapid increase in research  
12 endeavors; however, the differences in application tech-  
13 nique and the composition of PRP have made it difficult to  
14 compare results or make any firm conclusions regarding  
15 efficacy. The purpose of this article is twofold. First, to  
16 recommend details that should be provided in basic science  
17 and clinical PRP studies to allow meaningful comparisons  
18 between studies which may lead to a better understanding  
19 of efficacy. Second, to provide an understanding of the  
20 different PRP preparations and their clinical relevance.  
21 There are biochemical rationales for the use of PRP  
22 because it addresses several aspects of the healing process,  
23 including cell proliferation and tissue matrix regeneration,  
24 inflammation, nociception, infection, and hemostasis, all of  
25 which will be addressed. Given the current understanding  
26 of the importance the composition of PRP plays in tissue  
27 regeneration, it is likely that our future understanding of  
28 PRP will dictate ‘customizing’ the PRP preparation to the  
29 specific pathology of interest. The potential complications  
30 following PRP use are minor, and thus it appears to be a

safe treatment option with a variety of potentially benefi- 31  
cial effects to injured musculoskeletal tissues. 32

33 **1 Introduction**

34 Platelet-rich plasma (PRP) is a blood-derived plasma sus- 34  
pension containing variable quantities of platelets, leuko- 35  
cytes (white blood cells [WBCs]), and red blood cells 36  
(RBCs) [1]. The use of PRP has become prevalent in the 37  
regenerative medicine field with diverse applications from 38  
sports medicine and orthopedics to cosmetic surgery and 39  
ophthalmology [2–4]. The variability in PRP composition, 40  
use, and outcome instruments used for clinical study make 41  
the literature difficult to interpret. The purpose of this 42  
article is twofold. First, to recommend details that should 43  
be provided in basic science and clinical PRP investiga- 44  
tions to allow conclusions on efficacy to be made from 45  
meaningful comparisons between studies. Second, to pro- 46  
vide an understanding of how the different biologic activ- 47  
ities of PRP (tissue regeneration, anti-inflammatory, 48  
analgesia, antimicrobial, and hemostasis) may be influ- 49  
enced by PRP composition and use. Complications and the 50  
effect of PRP type and activation state on their occurrence 51  
will also be addressed. 52

53 A recent meta-analysis of PRP for orthopedic indica- 53  
tions concluded that the current evidence available does not 54  
support the enthusiasm for clinical application of PRP [2]. 55  
However, the prospective randomized controlled and 56  
cohort studies included were for 14 different indications, 57  
with 9 of the indications represented by only one publi- 58  
cation each. This meta-analysis typifies the variability and 59  
weakness in the literature regarding reporting of the com- 60  
position of PRP, use of a platelet activator, number and 61  
timing of treatments, and outcome analysis. Only 61 % of 62

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63 studies noted the preparation method used, and within  
64 those studies, nine different systems were used, and none  
65 of them reported what platelet or WBC concentration each  
66 patient received.

67 There are several key data points that should be reported  
68 in PRP studies to allow a more precise and accurate  
69 determination of the validity of a PRP preparation for a  
70 specific clinical application (Table 1). Considering all  
71 variables will be a learning process for investigators, but  
72 the current methodology is ineffective and will not produce  
73 reliable conclusions.

## 74 2 Defining Platelet-Rich Plasma

75 There is no consensus on the definition of PRP [5]. A  
76 simple definition is any plasma suspension with increased  
77 platelet concentration compared to blood. However, tre-  
78 mendous variability in platelet concentration is influenced  
79 by a number of factors; those of the individual (variation in  
80 response to dietary and physiological cues, exercise,  
81 smoking, and diurnal variation), differences in platelet  
82 counts within and between systems, and, in some instances,  
83 PRP is not generated after routine centrifugation according  
84 to manufacturer directions for unknown reasons [1, 6].  
85 These issues serve to emphasize the point that absolute  
86 platelet concentration for each individual PRP preparation  
87 should be captured and reported so that it can be deter-  
88 mined if outcome is related to platelet concentration.

89 PRP is also referred to as autologous conditioned plasma  
90 (ACP), reinforcing the fact that it can be produced from the  
91 patient's own blood. ACP has previously been referred to  
92 as Orthokine<sup>®</sup>, one of the trade names for the injectable  
93 autologous plasma products.

94 Platelet concentrates can be derived from a number of  
95 methods, including the 'standard' centrifugation technique  
96 to generate PRP. Both buffy coat and platelet apheresis  
97 have been used to this end. Each technique, however,  
98 differs in its leukocyte and platelet concentration. An  
99 in vitro study comparing platelet concentrates derived from  
100 a PRP method, buffy-coat method, and apheresis showed  
101 that buffy coat-derived platelet concentrates had both the  
102 largest platelets (in terms of mean platelet volume [MPV])  
103 and was the most adaptable, being able to undergo shape  
104 change in the presence of EDTA. PRP had lower platelet  
105 counts and volume, while apheresis had the lowest values  
106 of these two measures [7].

107 A more recent study [8] assessed platelet quality in  
108 terms of the following platelet characteristics: swirling,  
109 platelet count, WBC count, pH, and volume of platelet  
110 concentrate. This study also compared PRP with buffy  
111 coat- and apheresis-derived platelet concentrates and found  
112 apheresis platelet concentrates to be superior to buffy coat

**Table 1** Recommended complete data reporting for basic science and clinical platelet-rich plasma investigations

Preparation method	
Commercial	System used Detail any modifications to manufacturer protocol Detail any manufacturer options (if any) and option selected (i.e. final volume of PRP)
Manual	Volume of blood collected Type and final concentration of anticoagulant if any Centrifugation speed in gravitational ( <i>g</i> ) force (rpm are not appropriate—results in variable <i>g</i> force depending on centrifuge radius) Centrifugation time Number of spin cycles Final volume of PRP
Characterization of PRP	
Hematology	Blood and PRP platelet, leukocyte, and red blood cell concentration Consider reporting fibrinogen concentration
Growth factors	Consider reporting, particularly for new protocols that have not been validated to increase growth factor concentration
Storage	Fresh or frozen-thawed
Activation	Yes Agent (i.e. calcium chloride, autologous thrombin, bovine thrombin, etc.) Agent concentration Time to clot Releasate only or entire clot used No
In vivo models or clinical studies	
Injection	Location (intra-articular, intra-lesional, peri-lesional, etc.) Volume injected Ultrasound guidance (yes or no) Timing of injection relative to injury or surgery Re-dosing interval if any Post-injection rehabilitation Prior or concurrent treatments
Complications	Describe major and minor Detail number affected Duration post-treatment
Outcome measures	As appropriate to tissue/injury of study

PRP platelet-rich plasma, rpm rotations per minute

and PRP. Apheresis-derived platelet concentrates had bet- 113  
ter swirling (indicative of discoid morphology), higher 114  
platelet counts, and higher volume than buffy coat and PRP 115  
platelet concentrates. Moreover, although PRP- and buffy 116  
coat-derived platelet concentrates were comparable in 117  
terms of swirling, platelet count, and pH, buffy coat- 118

119 derived platelet concentrates had greater variation in vol-  
 120 ume, which the authors suggest is due to lack of a stan-  
 121 dardized way to prepare buffy-coat platelet concentrations  
 122 [8]. An older study specifically looked at platelet viability,  
 123 comparing PRP and apheresis-derived platelet concentrates  
 124 [9]. Unlike the aforementioned studies, this study was  
 125 in vivo and involved eight subjects who underwent platelet  
 126 concentrate generation via PRP and continuous flow cell-  
 127 separator apheresis ( $n = 4$ , Group A) and intermittent flow  
 128 cell-separator apheresis ( $n = 4$ , Group B). The results  
 129 showed no difference in platelet viability between the PRP-  
 130 and apheresis-derived platelet concentrates in terms of  
 131 mean platelet lifespan. Furthermore, there was no differ-  
 132 ence between the two platelet-apheresis collection  
 133 methods.

134 A recent review of platelet concentrates derived from  
 135 apheresis and whole blood centrifugation (PRP) echoed the  
 136 similarity of the platelets collected via these two methods  
 137 [10]. The study cited additional factors such as the  
 138 increased risk of viral transmission via whole blood-  
 139 derived concentrates (often from multiple donors) as  
 140 compared with apheresis-derived concentrates (often from  
 141 a single donor). Furthermore, the risk of moderate immune  
 142 reaction is 0.38 % for whole blood as opposed to 0.12 %  
 143 for apheresis. The risk of severe reaction was 0.09 and  
 144 0.03 %, respectively [10]. These studies demonstrate the  
 145 need for methodological procedure and collection stan-  
 146 dardization in addition to highlighting the importance of  
 147 focusing on platelet collection efficiency, cost, processing  
 148 times, infection rate, WBC contamination, and ease of  
 149 operation [7–10].

150 While PRP definitions have classically relied on platelet  
 151 concentration, more recent understanding of the complex-  
 152 ity of PRP as a composite of bioactive factors from  
 153 platelets, WBCs, and the plasma itself, has catalyzed the  
 154 need for a more thorough classification of different PRP  
 155 products. The multitude of proteins and hormones found in  
 156 PRP have recently been reviewed [1]. Similar to platelets,  
 157 quantifying WBC concentration is important considering  
 158 laboratory work that has demonstrated inflammatory  
 159 cytokine release from WBCs in PRP, and positive corre-  
 160 lation between WBC concentration and the concentrations  
 161 of interleukin (IL)-1 $\beta$  and matrix metalloproteinase  
 162 (MMP)-9 in PRP preparations [11–19]. IL-1 $\beta$  is known to  
 163 induce inhibition of collagen II and aggrecan gene  
 164 expression, which contribute to osteoarthritis progression  
 165 [20]. MMP-9 and other gelatinases cleave collagen as well  
 166 as aggrecan, elastin, and cartilage link protein, thereby  
 167 playing a significant role in cartilage degradation. Whether  
 168 an activating agent is used is also likely to have significant  
 169 biologic consequences due to differences in release kinetics  
 170 of growth factors from platelets. Thrombin activation of

WBC-containing PRP also results in increased release of  
 IL-1 $\beta$  [21].

A classification system has been proposed in an attempt  
 to group different PRPs based on their fundamental com-  
 position so that the optimal type of preparation for each  
 indication could be inferred from the literature [22]. The  
 four major categories include pure PRP (low WBC, anti-  
 coagulated), leukocyte-rich PRP (high WBC, anticoagu-  
 lated), pure platelet-rich fibrin [PRF] (low WBC,  
 coagulated), and leukocyte-rich PRF (high WBC, coagu-  
 lated). Another classification scheme expanded the defini-  
 tion of PRP groups to include platelet concentrations  
 greater or less than a fivefold increase over blood con-  
 centrations [23]. However, the ideal platelet concentration  
 is likely to differ depending on tissue type and disease state  
 and may not fit discretely into the greater or less than  
 fivefold increase categories. For example, three different  
 cell types cultured in the releasate of calcium chloride-  
 activated pure PRP preparations with two platelet con-  
 centrations each had a different response with respect to  
 proliferation and cytokine production [24]. Calcium chlo-  
 ride is used to activate platelets in order to release growth  
 factors from the alpha granules. In addition, an in vivo  
 rabbit bone regeneration study found that extreme platelet  
 concentrations produced inferior results, while moderate  
 concentrations were stimulatory [25]. Similar results were  
 found when human rotator cuff fibroblasts were exposed to  
 three concentrations of PRP, with low and moderate con-  
 centrations being optimal [26]. Further research should aim  
 to define the ideal pathology-specific PRP for each treat-  
 ment indication and further refine the current proposed  
 PRP classification schemes.

### 3 Tissue Regeneration

The rationale for the role of PRP in regenerative therapy is  
 based on the numerous growth factors within platelets and  
 plasma [1]. Many of these growth factors have been  
 investigated for their individual effects on tissue repair but  
 at concentrations much different than those found in PRP  
 [27]. The synergistic effect of the combination of proteins  
 in PRP makes extrapolation of the results of growth factor  
 therapy problematic.

Treatment of tendon and ligament injuries with PRP was  
 one of its earliest and most popular uses in sports medicine.  
 PRP has demonstrated anabolic effects, including increased  
 matrix gene expression and protein production, increased  
 chemotaxis of bone marrow cells, increased tenocyte pro-  
 liferation, improved histologic organization, and increased  
 force at failure [26, 28–36]. Growth factors in PRP have anti-  
 catabolic effects which may be important. Transforming

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growth factor (TGF)- $\beta$  inhibits the expression and release of IL-1 $\beta$ , tumor necrosis factor (TNF)- $\alpha$ , IL-6 and IL-8, and inhibits MMP activity [37–39]. Treatment of human tenocytes with IL-1 $\beta$  and TNF- $\alpha$  results in upregulation of endogenous IL-1 $\beta$  and TNF- $\alpha$ , MMP-3, -1 and -13, all without a change in tissue inhibitors of metalloproteinases leading to an overall effect of tissue degradation [40].

The clinical efficacy of PRP use during arthroscopic rotator cuff repair has yielded inconclusive results. In a systematic review by Chahal et al. [41], a meta-analysis of sorts (both randomized control trials and retrospective studies were included) was performed on the available published studies evaluating rotator cuff re-tear rate and standardized patient-reported clinical outcome measures related to shoulder symptomology before and after arthroscopic rotator cuff repair surgery for full-thickness tears. They found no statistically significant difference in re-tear rates for patients treated with PRP and those treated without PRP. However, a subgroup analysis showed a statistically significant decrease in re-tear rates for patients treated with PRP who had small and medium-sized rotator cuff tears versus those who were not treated with PRP with the same size tears ( $p = 0.006$ ). When analyzing the subgroups further, no difference in re-tear rates was found between groups with large or at-risk tears. Furthermore, Chahal et al. [41] found that treatment with PRP did not result in significant differences in shoulder-specific outcome scores for patients undergoing rotator cuff repairs. A subgroup analysis of these outcomes was unable to be performed because shoulder-specific outcome measures were not reported for these groups.

These results were echoed by Zhang et al. [42] in their more classical meta-analysis of studies including patients undergoing arthroscopic rotator cuff repair surgery with and without PRP treatment. They found no significant difference between the PRP group and the control group in shoulder-specific outcome measures nor in overall re-tear rates. Additional subgroup analysis of re-tear rates based on initial tear size was performed and again showed a significant decrease in re-tear rates of PRP-treated patients with small and medium tears ( $p = 0.03$ ), with no significant difference in re-tear rates for large or massive tears. Despite the lack of statistical heterogeneity in these two studies ( $I^2 < 50\%$  for both), significant clinical heterogeneity, differing PRP preparations, a variety of rotator cuff repair techniques and varied postoperative rehabilitation was acknowledged by both authors to contribute to the complexity of data interpretation. This emphasizes the need for large, multicenter, randomized control trials with standardized PRP and procedural protocols to better delineate the relationship between the in vitro biological properties of PRP and its translational clinical outcomes.

In addition to investigating the clinical efficacy of PRP in arthroscopic rotator cuff repair, studies have also looked at its role in tendinopathy. Mautner et al. [43] conducted a multicenter retrospective review of the effect of PRP injections on patient-reported symptoms of all-cause chronic tendinopathy. In addition to physical examination findings, patients were required to have ultrasound or magnetic resonance imaging (MRI) findings consistent with chronic tendinopathy. While a number of tendons were treated, the three most commonly treated were the lateral epicondyle, Achilles, and patellar tendons. Of all survey responders, 82 % reported a moderate-to-complete resolution of symptoms ( $>50\%$  improvement) at a mean of  $15 \pm 6$  months post-injection, with no significant difference in results between patients responding before 1 year post-procedure or after 1 year post-procedure. Furthermore, there was a significant improvement in visual analog scale (VAS) scores following injection, which corresponded to an average pain reduction of 75 %. Although these results are encouraging, there was no correlation or discussion of the effects of platelet concentration, leukocyte levels, platelet activation, or number of required injections on the results.

Another tendon study from Brazil by de Almeida et al. analyzed clinical and radiographic outcomes of PRP on the healing of patellar tendons following anterior cruciate ligament (ACL) reconstruction with patellar tendon grafting [44]. This study attempted to standardize some of the PRP protocol and procedural elements that could contribute to heterogeneity and inconsistency by using the same type of cell separator for platelet apheresis, having a single surgeon perform all surgical procedures, and having a single blinded radiologist evaluate all MRI imaging. The results showed a statistically significant reduction in gap area of the patellar tendon harvest site in the PRP-treated patients compared with those not treated with PRP ( $p = 0.046$ ), as well as a significantly improved VAS score ( $p = 0.02$ ) in the PRP group within 24 h of surgery, indicating less immediate postoperative pain for those patients. There was no difference between groups in terms of patellar tendon thickness and length. Despite significant improvements in VAS scores in the immediate postoperative period, there were no significant differences in questionnaire or isokinetic outcomes between groups [44]. No biopsies were taken of the patellar tendon to correlate the MRI and VAS findings with mechanical and histological properties of the tendon, necessitating additional studies on the effect of PRP on these characteristics.

The importance of PRP WBC concentration and the implications for the effect of inflammatory and catabolic mediators on tendon and ligament homeostasis was exemplified in an in vitro equine tendon and ligament study [30]. This study found a positive correlation between WBC

326 concentration in various biologics, including PRP, and  
 327 expression of catabolic mediators. More recent work fur-  
 328 ther supported these findings; treatment of tendon explants  
 329 with low WBC PRP resulted in decreased IL-1 $\beta$  and TNF-  
 330  $\alpha$  gene expression compared with explants treated with  
 331 high WBC PRP [28]. This suggests that low WBC or pure  
 332 platelets would be best suited for the purpose of stimulating  
 333 tendon regeneration. Finally, activation of PRP for injec-  
 334 tion into tendons and ligaments remains controversial.  
 335 Beneficial healing results have been achieved without  
 336 exogenous activation of PRP, since activation presumably  
 337 occurs following injection upon exposure to collagen [32].  
 338 Further work is needed to determine whether exogenous  
 339 activation offers any biologic benefit over allowing  
 340 endogenous activation to occur following injection.

341 Interest in PRP treatment of joint disorders has  
 342 increased, particularly cartilage lesions and osteoarthritis.  
 343 Growth factors in PRP have each demonstrated positive  
 344 effects on joint biology, including chemotaxis and differ-  
 345 entiation of mesenchymal cells, chondrocyte proliferation,  
 346 matrix production, and suppressed catabolism [45–48].  
 347 Currently, the regenerative effect of PRP on the various  
 348 cell types within joints has not been widely studied. The  
 349 majority of studies have used the releasate from activated  
 350 PRP diluted to varying degrees in cell culture. The appli-  
 351 cation of PRP releasate to osteoarthritic human chondro-  
 352 cytes has been shown to increase cell proliferation, and  
 353 increase gene expression of aggrecan and SOX-9 [49].  
 354 Inactivated PRP resulted in beneficial effects in a swine  
 355 rheumatoid arthritis model [50]. There was a return of  
 356 Safranin-O and collagen II staining of cartilage to baseline,  
 357 staining for IL-6 and vascular endothelial growth factor  
 358 (VEGF) was reduced in the synovium and cartilage, and  
 359 synovial fluid concentrations of IL-6, VEGF, insulin-like  
 360 growth factor (IGF)-1, and IL-1 returned to baseline levels.  
 361 Chondrocytes suspended in agarose gel with inactivated  
 362 pure PRP had increased proliferation, differentiation, and  
 363 integration with native cartilage [51]. Many questions  
 364 remain regarding the ideal PRP composition for cartilage  
 365 regeneration; however, it would seem reasonable to  
 366 exclude WBCs from PRP for joint injection given the  
 367 possibility of catabolic mediator release.

368 **4 Anti-Inflammatory**

369 Significant overlap exists between the role of PRP as an  
 370 anabolic/anti-catabolic therapy and an anti-inflammatory  
 371 agent. Evidence supporting PRP as an anti-inflammatory  
 372 therapeutic stemmed primarily from its use in osteoarthri-  
 373 tis. In studies on chondrocyte cultures and IL-1 $\beta$ -exposed  
 374 chondrocytes, supernatant from activated PRP resulted in  
 375 decreased nuclear factor kappa-light-chain enhancer of

376 activated B cells (NF- $\kappa$ B) transactivation, while non-acti- 376  
 377 vated PRP did not, and a reduction in NF- $\kappa$ B to baseline 377  
 378 levels, respectively [52, 53]. The mechanism of action was 378  
 379 attributed to hepatocyte growth factor released from WBCs 379  
 380 in activated PRP [52]. The effect of PRP on chondrocyte 380  
 381 NF- $\kappa$ B activation is important because it is a major regu- 381  
 382 lator of inflammation; however, the joint is an organ made 382  
 383 up of multiple tissues, including the synovium and sub- 383  
 384 chondral bone, which may be more significant sources of 384  
 385 inflammation [54]. Synoviocytes cultured in leukocyte-rich 385  
 386 PRP significantly increased production of MMP-1 and -3 386  
 387 compared with cells cultured in platelet-poor plasma (PPP), 387  
 388 platelet-derived growth factor BB (PDGF-BB), or saline 388  
 389 [12]. 389

390 In a rheumatoid arthritis model in the pig, intra-articular 390  
 391 injection of non-activated PRP ( $1 \times 10^6$  platelets/ $\mu$ l) 391  
 392 resulted in significant anti-inflammatory effects, including 392  
 393 reduction in synovial hypertrophy and decreased leukocyte 393  
 394 infiltration [50]. Current evidence supports injection of 394  
 395 activated PRP releasate to yield the greatest anti-inflam- 395  
 396 matory effect, although clinical confirmation is needed. It 396  
 397 is not known whether the use of releasate from pure PRPs 397  
 398 would be beneficial compared with releasates from leuko- 398  
 399 cyte-rich PRPs. Intra-articular injection of large numbers of 399  
 400 WBCs is counter-intuitive given the propensity for 400  
 401 inflammatory mediator and destructive protein release from 401  
 402 WBCs. 402

403 **5 Analgesia and Return of Function**

404 A primary objective of PRP therapy is to gain improved 404  
 405 and long-lasting functional outcomes. Improved function is 405  
 406 intimately related to decreased pain. Pain can result from a 406  
 407 variety of stimuli, and there are several complex pathways 407  
 408 involved in transmission and perception of pain. The 408  
 409 concept of PRP having antinociceptive properties is in its 409  
 410 infancy. Current evidence indicates that PRP affects many 410  
 411 molecules involved in inflammation, and an anti-inflam- 411  
 412 matory mechanism may explain clinical perception of 412  
 413 PRP-related analgesia. 413

414 PRP has been used to treat rotator cuff, patellar, elbow, 414  
 415 and Achilles tendinopathies, and for augmenting ACL 415  
 416 repair. A recent review outlined the clinical studies 416  
 417 assessing PRP effectiveness for the treatment of these 417  
 418 injuries [55]. Excluding ACL repair, PRP decreased pain 418  
 419 and improved function in seven of nine investigations, with 419  
 420 earlier return to function and increased range of motion for 420  
 421 as long as 2 years. Less success has been recognized for 421  
 422 PRP-augmented ACL repair, with no significant improve- 422  
 423 ments in analgesia or function scores [55]. Platelet con- 423  
 424 centration was only reported in four studies, some did not 424  
 425 activate PRP, and others used different combinations of 425

426 activators [55]. The use of PRP to augment arthroscopic  
 427 rotator cuff repair has also produced disappointing results  
 428 and will not be discussed further [56, 57]. Recent investi-  
 429 gations on PRP treatment of lateral epicondylitis mostly  
 430 used similar leukocyte-rich, non-activated PRP prepara-  
 431 tions delivered in a similar manner. Pain and function  
 432 outcomes were somewhat equivocal, with PRP being no  
 433 different from autologous blood in two studies, improved  
 434 compared with bupivacaine in one study, and corticoste-  
 435 roids produced contradictory results in two studies  
 436 (Table 2) [55–62]. Further studies using different types of  
 437 PRP, different administration techniques, or activation  
 438 states may be useful to determine if outcomes can be  
 439 improved. Patellar tendinopathy treatment with PRP  
 440 appears promising, with positive outcomes in three recent  
 441 publications; however, only one study compared results  
 442 with a control (Table 2) [63–65]. These investigations  
 443 emphasize the need for detailed controlled studies before  
 444 evidence-based decisions can be made.

445 Intra-articular injection of PRP for treatment of early  
 446 cartilage degenerative lesions and osteoarthritis is showing  
 447 promise. Table 3 outlines recent studies on the use of PRP  
 448 for the treatment of knee osteoarthritis. Leukocyte-poor  
 449 and leukocyte-rich PRP were each evaluated in three  
 450 instances, while two studies did not characterize the PRP  
 451 used. Filardo et al. [66] compared pure PRP with leuko-  
 452 cyte-rich PRP, and there was no difference in pain and  
 453 function scores. In fact, all investigations demonstrated  
 454 positive results despite differences in PRP activation,  
 455 control treatment, and dosing regimen (Table 3) [67, 68].  
 456 Interestingly, two studies demonstrated effective outcome  
 457 measures, with a single dose lasting approximately  
 458 6–8.8 months [69, 70]. A common feature of many studies  
 459 was a superior outcome in younger patients and those with  
 460 more acute lesions [66, 70, 71]. Additionally, in their study  
 461 of the effect of PRP on chronic tendinopathy, Mautner  
 462 et al. [43] included a brief discussion of the number of PRP  
 463 injections. Their algorithm for determining the number of  
 464 injections was predicated on the patient's reported global  
 465 improvement and trajectory of improvement, with 80 %  
 466 being the threshold below which an additional injection  
 467 was recommended. In this study, 60 % of patients received  
 468 one injection only, 30 % received two injections, and 10 %  
 469 received three or more injections. These numbers translated  
 470 into 83 % of patients reporting moderate-to-complete res-  
 471 olution of symptoms with one injection, 82 % with two  
 472 injections, and 76 % with three or more injections. While  
 473 the authors question the utility of administering more than  
 474 three injections, the significance of the response trend is  
 475 not discussed, nor was the frequency of injection.

476 Another study specifically evaluating the effect of PRP  
 477 injections on patient-reported clinical outcomes for patients  
 478 with bilateral osteoarthritis found evidence suggesting that

479 more PRP injections were not necessarily more effective  
 480 than a single injection [69]. This study compared three  
 481 groups of patients who received either one injection of  
 482 PRP, two injections of PRP 3 weeks apart, or a single  
 483 injection of normal saline. The groups receiving PRP had  
 484 significantly improved VAS and Western Ontario and  
 485 McMaster Universities Arthritis Index (WOMAC) scores  
 486 compared with the placebo group, and there was no sig-  
 487 nificant difference between PRP groups, suggesting that  
 488 one injection was as effective as two for this study. These  
 489 studies highlight the need for further investigation of the  
 490 frequency, dose, and preparation of PRP products, as well  
 491 as emphasize the need for clear indications for PRP treat-  
 492 ment to determine what patient demographic and what  
 493 specific lesions might respond to PRP treatment. Moreover,  
 494 the relationship between dose and frequency of injections  
 495 to cell signaling pathways must be explored.

## 6 Antimicrobial

496 The increase in antimicrobial-resistant bacteria has  
 497 prompted the medical community to seek new means of  
 498 preventing and treating surgical site infections. PRP has  
 499 been proposed to have antimicrobial activity primarily  
 500 based on the known antimicrobial activity of WBCs.  
 501 Currently, it is unknown how leukocytes function after  
 502 being removed from the circulation for PRP preparation  
 503 and directly applied to tissue, bypassing the migration  
 504 phase of activation. Intracellular calcium also plays a role  
 505 in neutrophil granule release, and activation with calcium  
 506 chloride may have some effect on leukocyte activation  
 507 [72].

508 Platelets have primary antimicrobial activity. Microbi-  
 509 cidal proteins have been purified from rabbit platelets and  
 510 were show to have dose-dependent microbistatic and  
 511 microbicidal activity against *Staphylococcus aureus*,  
 512 *Escherichia coli*, *Bacillus subtilis*, and *Candida albicans*  
 513 [73]. Antimicrobial proteins from resting platelets have  
 514 greatest activity at pH 5.5, while thrombin stimulation  
 515 releases antimicrobial proteins with extended action (pH  
 516 5.5–7.2) [73]. Microbicidal proteins purified from throm-  
 517 bin-stimulated human platelets elicited bactericidal effects  
 518 against *B. subtilis*, *E. coli*, *S. aureus*, and *Lactococcus*  
 519 *lactis*, and fungicidal effects against *Cryptococcus neo-*  
 520 *formans* [74]. In addition to the release of antimicrobial  
 521 proteins, platelets are capable of phagocytosis, and gener-  
 522 ation and release of reactive oxygen species [75].

523 Comparisons of PPP with PRP in antimicrobial activity  
 524 are not well-documented. An in vitro study by Burnouf  
 525 et al. [76] compared unaltered (native) and complement-  
 526 inactivated (via heat) PPP, PRP, platelet gel, and solvent/  
 527 detergent-treated platelet lysate (S/D-PL) in their activity  
 528

**Table 2** Recent tendon and ligament platelet-rich plasma injection protocols and complications

Location	PRP type	Activation	Injection	Control	Endpoint	Outcome	Complications	Comments	Reference
Chronic lateral elbow epicondylitis	GPS® III (L-PRP)	No	'Peppering' intra-lesional injection × 1	Autologous blood	6 months	No difference except PRP improved VAS at 6 weeks	Local pain		[64]
Chronic lateral elbow epicondylitis	Biomet Recover® (L-PRP)	No	'Peppering' intra-lesional injection × 1	Corticosteroid	24 months	PRP improved VAS, DASH	None		[65]
Chronic lateral elbow epicondylitis	Manual single spin	No	Intra-lesional injection × 2 (q1 month)	Autologous blood	6 months	No difference		U/S guided	[60]
Chronic lateral elbow epicondylitis	GPS® (L-PRP)	No	'Peppering' intra-lesional injection × 1	Bupivacaine	12 and 24 weeks	PRP improved VAS at 8 and 24 weeks, local tenderness at 4, 12, and 24 weeks, overall success at 24 weeks. Both improved PRTEE	Local pain—18 % control, 19 % PRP	Buffered with sodium bicarbonate	[61]
Chronic lateral elbow epicondylitis	GPS® II (L-PRP)	No	'Peppering' intra-lesional injection × 1	Saline, triamcinolone	12 months	PRTEE improved in all at 3 months, triamcinolone improved by 1 month. Triamcinolone better color Doppler and tendon thickness	Local pain 2–3 weeks	U/S guided. Buffered with sodium bicarbonate	[62]
Chronic patellar tendinopathy	Double-spin manual	CaCl <sub>2</sub>	Intra-lesional × 3 (q2 weeks)	None	48.6 months	Blanzina, VISA-P, EQ-VAS, Tegner all improved		U/S guided. 2 tx frozen-thawed PRP	[63]
Chronic patellar tendinopathy	MyCells® Autologous Platelet Preparation System	No	Intra-lesional × 2 (q1 week)	Focused extracorporeal shockwave therapy	12 months	PRP improved VISA-P and VAS at 6 and 12 months, and modified Blazina at 12 months	Local pain		[64]
Chronic patellar tendinopathy	Biomet Recover® (L-PRP)	No	Intra-lesional × 1	None	18.4 months	Improved VISA-P and VAS, prior treatments decreased outcome		Mixed with sodium bicarbonate, epinephrine, bupivacaine	[65]

PRP platelet-rich plasma, L-PRP leukocyte-rich PRP, CaCl<sub>2</sub> calcium chloride, VAS visual analog scale, DASH Disabilities of the Arm, Shoulder, and Hand Score, PRTEE Patient-Rated Tennis Elbow Evaluation, EQ-VAS EQ-VAS EuroQuol-visual analog scale, VISA-P Victorian Institute of Sport Assessment-Patella, U/S ultrasound, tx treatment, q1 month every month, q1 week every week, q2 weeks every 2 weeks

**Table 3** Platelet-rich plasma injection protocols and complications for osteoarthritis of the knee

PRP type	Activation	Injection	Control	Endpoint	Outcome	Complications	Comment	Reference
Manual double-spin	CaCl <sub>2</sub>	IA × 3 (q2 weeks)	LMW-HA HMW-HA	6 months	PRP improved IKDC and EQ-VAS	None	PRP more effective in younger patients and earlier lesions	[71]
PRGF (P-PRP)	CaCl <sub>2</sub>	IA × 3 (q3 weeks)	Manual double-spin PRP (L-PRP)	12 months	Both improved IKDC, EQ-VAS, Tegner	More pain and swelling with L-PRP	Both preparations more effective in younger with earlier lesions	[66]
Magellan autologous platelet separator (L-PRP)	No	IA × 1	No	12 months	VAS and IKDC improved out to 6 months, effect declined 9–12 months, mean relapse pain 8.8 months	Mild swelling and pain (63 %)	PRP less effective with increasing age and joint degeneration	[70]
Single-spin manual and leukocyte filtration (P-PRP)	CaCl <sub>2</sub>	IA × 1 or IA × 2 (q3 weeks)	Saline × 1	6 months	WOMAC and VAS improved to 6 months both PRP groups, start return of pain. Control WOMAC and VAS worsened	Dizziness and nausea. Pain and stiffness 2 days—significant increase with platelet concentration	Severe OA excluded. Large volume blood collected	[69]
ACP (P-PRP)	No	IA × 4 (q1 week)	HA × 4 q1 week	24 weeks	4-week WOMAC HA better than PRP, after 4 weeks to 24 weeks PRP improved and HA declined		Severe OA excluded	[67]
Regen ACR-C <sup>®</sup>	No	IA × 2 (q4 weeks)	No	12 months	IKDC, VAS, KOOS, Tegner, Marx scores all improved	No	50 % patients prior surgery. No effect of prior surgery or degree of OA	[68]

PRP platelet-rich plasma, P-PRP pure PRP, L-PRP leukocyte-rich PRP, IA intra-articular, LMW-HA low-molecular weight hyaluronic acid, HMW-HA high-molecular weight hyaluronic acid, HA hyaluronic acid, IKDC International Knee Documentation Committee, VAS visual analog scale, EQ-VAS EuroQol-visual analog scale, WOMAC Western Ontario and McMaster Universities Index of Osteoarthritis, KOOS Knee Injury and Osteoarthritis Outcome Score, ACP autologous conditioned plasma, ACR-C autologous cellular rejuvenation-classic, OA osteoarthritis, q1 week every week, q2 weeks every 2 weeks, q3 weeks every 3 weeks, q4 weeks every 4 weeks

529 against common bacteria found in wounds. PRP in this  
530 study was generated through platelet apheresis and platelet  
531 gel was activated with calcium chloride. Samples origi-  
532 nated from two donors. The results of this study showed  
533 strong inhibition of *E. coli* by all native plasma and platelet  
534 materials. Additionally, there was stronger inhibition of  
535 *Klebsiella pneumoniae* and *Pseudomonas aeruginosa* with  
536 native PPP, PRP, and S/D-PL than with platelet gel. No  
537 native plasma or platelet materials inhibited *Enterococcus*

*cloacae*, *B. cereus*, *B. subtilis*, *S. aureus*, or *S. epidermidis*. 538  
Complement-inactivated plasma and platelet materials did 539  
not inhibit any bacteria [76]. 540

541 Because complement-inactivated products did not inhi-  
542 bit any bacteria, the authors posit that complement and/or  
543 other heat-sensitive compounds are the primary negotiators  
544 of antimicrobial activity in platelet products. Moreover,  
545 because S/D-PL more strongly inhibited *S. aureus*, *K.*  
546 *pneumoniae*, and *P. aeruginosa* than platelet gel, they



547 suggest that calcium chloride activation and coagulation  
 548 cascade-induced activation of fibrin may consume comple-  
 549 ment or other inhibitors or may support bacterial pro-  
 550 liferation by releasing other factors [76]. While these  
 551 results suggest that antimicrobial activity against *K.*  
 552 *pneumoniae*, *P. aeruginosa*, *E. coli*, and *S. aureus* is  
 553 mediated not by platelets or WBCs but by plasma or other  
 554 heat-sensitive components, other animal and human in vivo  
 555 studies have continued to show the significant antimicro-  
 556 bial activity of PRP.

557 In vivo evidence supports the antimicrobial actions of  
 558 PRP. Surgical wound infection rates in patients undergoing  
 559 cardiac surgery were significantly lower in wounds treated  
 560 with PRP at the time of incision closure compared with  
 561 untreated controls [77, 78]. PRP demonstrated antimicro-  
 562 bial activity against bacteria isolated from an infected  
 563 wound, and negative cultures were obtained from the  
 564 wound 5–6 days after treatment [79]. An in vitro study  
 565 evaluating the antimicrobial spectrum of PRP obtained  
 566 from 20 donors found that PRP was active against methi-  
 567 cillin-sensitive and methicillin-resistant *S. aureus* (MRSA),  
 568 and *E. coli* [80]. However, PRP from two individuals did  
 569 not demonstrate antimicrobial activity against the strains  
 570 tested. There was no antimicrobial activity of PRP against  
 571 *K. pneumoniae* or *E. faecalis*, and PRP potentiated the  
 572 growth of *P. aeruginosa* [80]. Finally, in a rabbit tibial  
 573 MRSA osteomyelitis model, debridement with systemic  
 574 vancomycin and local injection of PRP gel resulted in  
 575 superior clearance of infection and bone defect repair  
 576 compared with all other treatments, including debridement  
 577 and systemic vancomycin [81]. All of these studies used  
 578 activated leukocyte-rich PRP preparations.

579 **7 Hemostasis**

580 Platelets play a major role in coagulation, first by forming  
 581 the initial platelet plug and then by participating in the  
 582 conversion of soluble fibrinogen to fibrin matrix. There-  
 583 fore, the use of PRP to minimize hemorrhage at surgical  
 584 sites would seem logical. Following total knee arthroplasty,  
 585 PRP has been primarily used as a hemostatic agent at the  
 586 time of closure. Postoperative bleeding may lead to a  
 587 variety of complications, including hematoma or seroma  
 588 formation, increased pain, arthrofibrosis, and the need for  
 589 blood transfusion and associated complications [82, 83].  
 590 The literature contains only a handful of studies on this  
 591 specific subject, and results are conflicting. Three studies  
 592 found no significant effect of PRP gel on postoperative  
 593 hemoglobin concentration [82, 84, 85]. However, another  
 594 study commented that the use of suction drains may have  
 595 resulted in loss of PRP and consequently reduced effect  
 596 [83]. This study found a positive effect of PRP gel for

597 hemostasis following total knee arthroplasty, with signifi-  
 598 cantly smaller decreases in postoperative hemoglobin,  
 599 decreased narcotic use, increased range of motion at dis-  
 600 charge, and earlier hospital discharge. The authors speci-  
 601 fied that a tourniquet and electrocautery were used and  
 602 tissues thoroughly dried prior to PRP application. Different  
 603 systems were used in each study, and there was no char-  
 604 acterization of PRP composition. Therefore, recommen-  
 605 dations on the optimal PRP product cannot be made.

606 **8 Imaging**

607 The radiological impact of PRP injections was evaluated  
 608 by de Almeida et al. [44] in their randomized control trial  
 609 comparing patients receiving PRP for patellar graft donor  
 610 site healing following ACL repair with controls receiving  
 611 no PRP following repair. Grafts were harvested from the  
 612 central third of the patellar tendon, and apheresis-derived  
 613 PRP platelet gel was applied to the harvest site. Their MRI  
 614 results showed a significantly smaller patellar gap area for  
 615 the PRP group ( $p = 0.046$ ) and no difference between  
 616 groups for cross-sectional area of the patellar tendon or  
 617 patella height at 6 months postoperative.

618 Focusing their efforts on radiologically assessing the  
 619 effect of PRP on osteoarthritis, Halpern et al. used MRI to  
 620 assess the effects of a single PRP injection on progression  
 621 of osteoarthritis of the knee [20]. Patients aged 30–70 years  
 622 with Kellgren grade 0–II osteoarthritis confirmed by MRI  
 623 and knee pain were given a single injection of PRP. They  
 624 were evaluated at 6 months and 1 year post-procedure by  
 625 clinical outcomes and at 1 year post-procedure by MRI.  
 626 The results showed significant and sustained reduction in  
 627 mean baseline VAS scores at 6 months and 1 year, as well  
 628 as significant improvements in WOMAC pain, stiffness,  
 629 and ADL scores over the same time frames. MRI results  
 630 showed no significant worsening of patellofemoral osteo-  
 631 arthritis in 80 % of knees and no change in the appearance  
 632 of lateral femoral and tibial compartment osteoarthritis in  
 633 83.3 % of knees. There was a non-significant lack of  
 634 change in medial compartment osteoarthritis in 73.3 % of  
 635 cases, and one knee with medial compartment osteoarthritis  
 636 actually improved in appearance after 1 year [20].

637 These studies suggest that PRP may play a role in  
 638 improving clinical outcomes in patellar tendon healing and  
 639 early-onset osteoarthritis in the 6 months to 1 year post-  
 640 procedural period. Interestingly, PRP was prepared differ-  
 641 ently in each study, with one study using platelet apheresis  
 642 and the other using PRP derived from whole blood. There  
 643 were additional differences in dose and no mention of  
 644 leukocyte concentration or activation status in the osteoar-  
 645 thritis study. These differences make it difficult to correlate  
 646 the biochemical, clinical and radiological effects of PRP.

Author Proof

647 **9 Complications**

648 Rare and predominantly minor complications have been  
649 reported following PRP use. The most frequently reported  
650 complications following intra-articular injection include  
651 swelling, tenderness, joint pressure, and local pain, which  
652 are typical following intra-articular treatments due to dis-  
653 tension of the joint causing pressure and pain [86, 87].  
654 Patel et al. [69] reported significantly more post-injection  
655 pain with higher platelet concentrations. Another study  
656 comparing single-spin PRP with double-spin PRP injection  
657 for knee osteoarthritis found that complications of pain and  
658 swelling were significantly more common in the double-  
659 spin group which had higher platelet and WBC concen-  
660 trations [66]. This difference suggests that the composition  
661 of PRP may impact patient comfort. Local pain at the  
662 injection site is the main complaint reported for treatment  
663 of tendons and ligaments, although little detail has been  
664 provided in many studies (Table 2).

665 A final potential complication is related to activation of  
666 the platelets in PRP. Potential side effects of thrombin  
667 activation include immune reaction, development of anti-  
668 bodies to human coagulation proteins, and coagulopathy  
669 [5]. Based on these risks, it would be prudent to use  
670 autologous thrombin or calcium chloride alone for platelet  
671 activation.

672 **10 Conclusion**

673 PRP has numerous advantages as an autologous biologic  
674 for treatment of musculoskeletal injuries. It is accessible,  
675 easily prepared, has minimal complications, and has a  
676 broad range of potential therapeutic actions. There are  
677 numerous types and application methods described. How-  
678 ever, fully detailed basic science and clinical prospective  
679 randomized clinical trials must be performed to improve  
680 our understanding of the optimal composition and use of  
681 PRP. The major disadvantages of PRP use include the high  
682 variability in PRP research, making it difficult to counsel  
683 patients regarding efficacy, particularly as treatment can  
684 represent a significant out-of-pocket expense.

685 Currently there is insufficient literature to support a  
686 consensus on the optimal PRP preparation for each indi-  
687 cation, dose volume, dosing interval, and whether activa-  
688 tion is necessary (and if so, by what method). Until defined  
689 algorithms and evidence-based protocols are available, the  
690 clinician should consider the biology of the condition being  
691 treated and the intended goal for PRP therapy when  
692 choosing the type of PRP and injection method. Also,  
693 patients should be informed that while PRP has several  
694 theoretical advantages with minimal complications, the use  
695 of PRP is still investigational.

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